JC10 Rec'd PCT/PTO 1 4 DEC 2001

*FORM PTO-1390 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK ATTORNEY'S DOCKET NUMBER (REV 11-2000) 246152015600 TRANSMITTAL LETTER TO THE UNITED STATES U.S. APPLICATION NO. (If known, see 37 CFR 1.5) DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. § 371 INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/EP00/06227 14//06/2000 TITLE OF INVENTION GENES ENCODING ENZYMES IN THE BIOSYNTHESIS OF PIMARICIN AND THE APPLICATION THEREOF APPLICANT(S) FOR DO/EO/US Juan Fransisco MARTIN; Jesus F. APARICIO; Angel Jose COLINA Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: \mathbf{x} This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. П This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected by the expiration of 19 months from the priority date (PCT Article 31). 5. × A copy of the International Application as filed (35 U.S.C. 371(c)(2)) X is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. b. П c. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application under PCT Article 19 (35 U.S.C. 371(c)(2)). is attached hereto. a. b. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)). a. are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. Ъ. have not been made; however, the time limit for making such amendments has NOT expired. đ. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11. to 16. below concern document(s) or information included: П 11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. 区 A FIRST preliminary amendment. A SECOND or SUBSEQUENT preliminary amendment. A substitute specification. 16 A change of power of attorney and/or address letter. 17 A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825. 18 A second copy of the published international application under 35 U.S.C. 154(d)(4). 19 A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 20. Other items or information: *; return receipt postcard. CERTIFICATE OF MAILING BY "EXPRESS MAIL" Express Mail Label No.: EL 736718870 US Date of Deposit: December 14, 2001 I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to Assistant Corporissioner for Patents, Washington, D.C. 20231. Tami M. Procopio

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U.S. APPLICATION NO. (if known, s	see 37 GFR(TV5) 2 O = O	INTERNATION	IAL	ATTORNEY'S	C 2001
111/1170000		NO. PCT/EP00/06227	NUMBER: 246152015600		
21. E The following fees are submitted: BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)):				CALCULATIONS PTO USE ONLY	
Neither international properties and properties are not international search	preliminary examination fe ch fee (37 CFR 1.445(a)(2 cch Report not prepared by	ce (37 CFR 1.482))) paid to USPTO	\$1,000.00		
	ary examination fee (37 Conal Search Report prepare		\$860.00		
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO\$710.00					
International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provision of PCT Article 33(1)-(4)\$690.00					٠
	ary examination fee (37 C d provisions of PCT Articl	le 33(1)-(4)	\$100.00		
ENTER APPROPRIATE BASIC FEE AMOUNT =				\$890.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than □ 20				\$130.00	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$*	
Total claims	26- 20 =	6	6x \$18.00	\$108.00	
Independent claims	3 - 3 =	0	x \$80.00	\$*	
MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$270.00				\$* ·	
TOTAL OF ABOVE CALCULATIONS =				\$1128.00	
☐ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½.				\$	
SUBTOTAL =				\$1128.00	
Processing fee of \$130.00 for furnishing the English translation later than □ 20 □ 30 months from the earliest claimed priority date (37 CFR 1.492(f)). +				\$*	
TOTAL NATIONAL FEE =				\$*	
	enclosed assignment (37 Copropriate cover sheet (37 Co			\$*	
TOTAL FEES ENCLOSED =				\$1128.00	
	3			Amount to be refunded:	\$*
				charged:	\$1128.00

- a. \square A check in the amount of \$* to cover the above fees is enclosed.
- b. E Please charge my <u>Deposit Account No. 03-1952</u> in the amount of \$1128.00 to cover the above fees. A duplicate copy of this sheet is enclosed.
- c. El The Commissioner is hereby authorized to charge any additional fees that may be required, or credit any overpayment to Deposit Account No. 03-1952. A duplicate copy of this sheet is enclosed.
- d.

 Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Kate H. Murashige Morrison & Foerster LLP 3811 Valley Centre Drive Suite 500 San Diego, California 92130-2332

SIGNATURE

Kate H. Murashige Registration No. 29,959

Vate H. Verenas